Faculty of Mathematics and Physics University of Ljubljana



(from raw data to physics results)

Data analysis

From raw data to summary data

("Raw data -> DST") track fitting momentum determination calorimetry (cluster reconstr.)
particle identification (Cherenkov angle)

Calibration

tracking detectors data (RICH) and MC (tracking) calibration

Analysis

jet reconstruction b-quark tagging

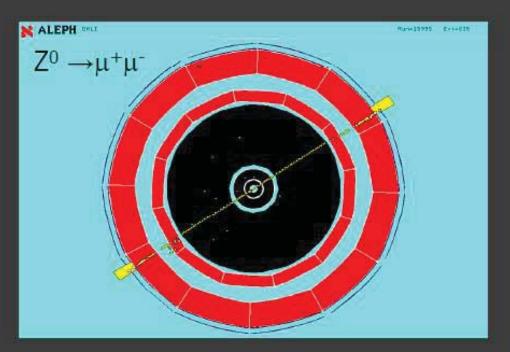
### From raw data to summary data



Raw data: digitized record of detector electronic signals;

directly used for graphical presentation;





for statistical analysis: need physics quantities **p**, E, q, m, ....

processed data, summary data, Data Summary Tape (DST)

example of graphical presentation: Aleph detector, LEP,  $e^+e^- \rightarrow Z^0 \rightarrow \mu^+\mu^-$ 

# From raw data to summary data

#### reconstruction

Procedure of processing raw data to summary data: reconstruction

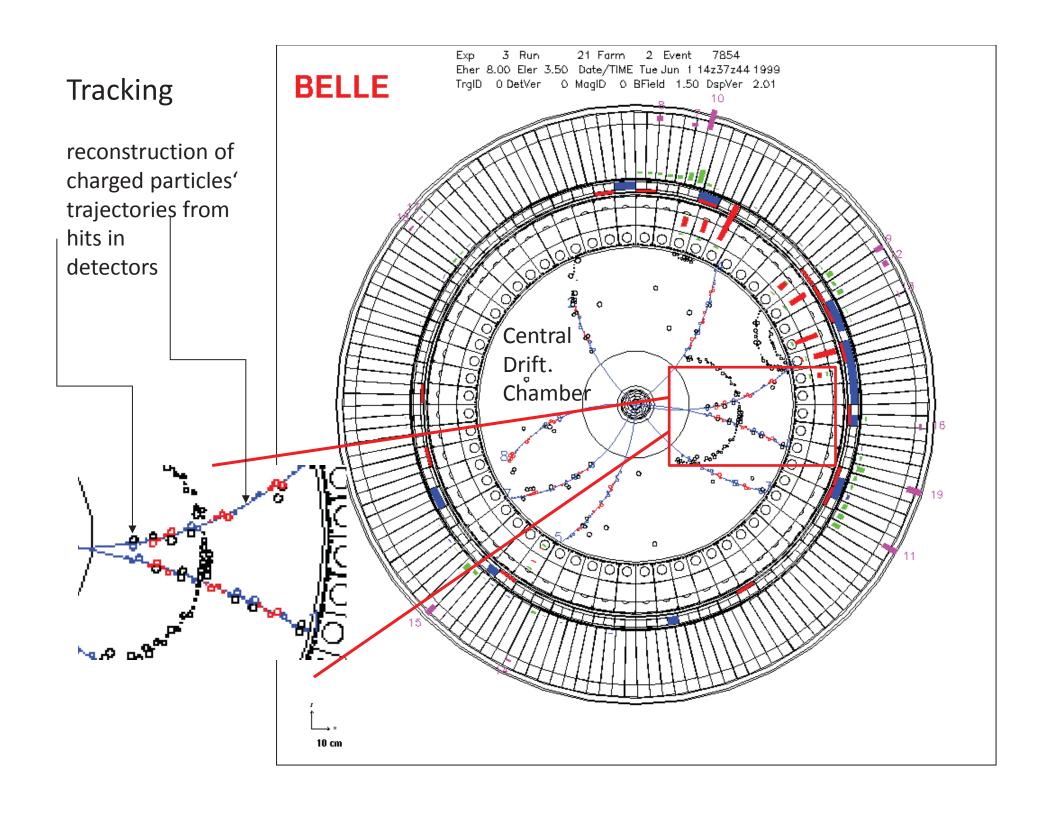
example: to conclude about  $Z^0 \rightarrow \mu^+\mu^-$  decay one needs to

establish two tracks of corresponding **p** 

association of signals in tracking det. into tracks; track fitting; determination of **p**  determine small energy deposited in EM calorimeter(µ)

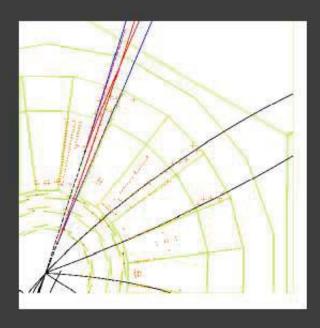
association of signals in calorim. into clusters; association of clusters to tracks identify  $\mu$ 

hits in  $\mu$  det.; association to tracks (different procedures for hadron ident.)



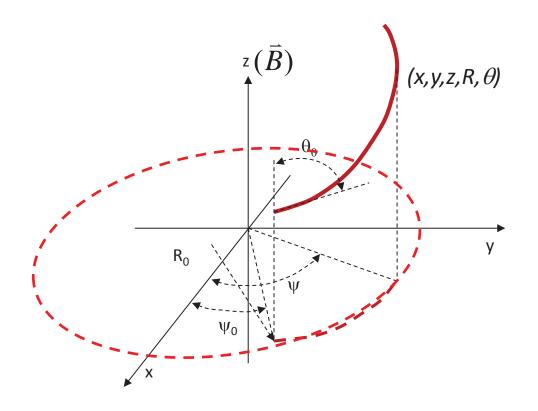
# From raw data to summary data

track fitting



- charged track in B ⇒ helix
- association of electronic signals in tracking detectors into groups tracks pattern recognition
- fitting of helix parameters to associated hits track fitting

# Helix parametrization



$$x = x_0 + R(\sin \psi - \sin \psi_0)$$

$$y = y_0 - R(\cos \psi - \cos \psi_0)$$

$$z = z_0 + (\psi - \psi_0)R \cot \theta$$

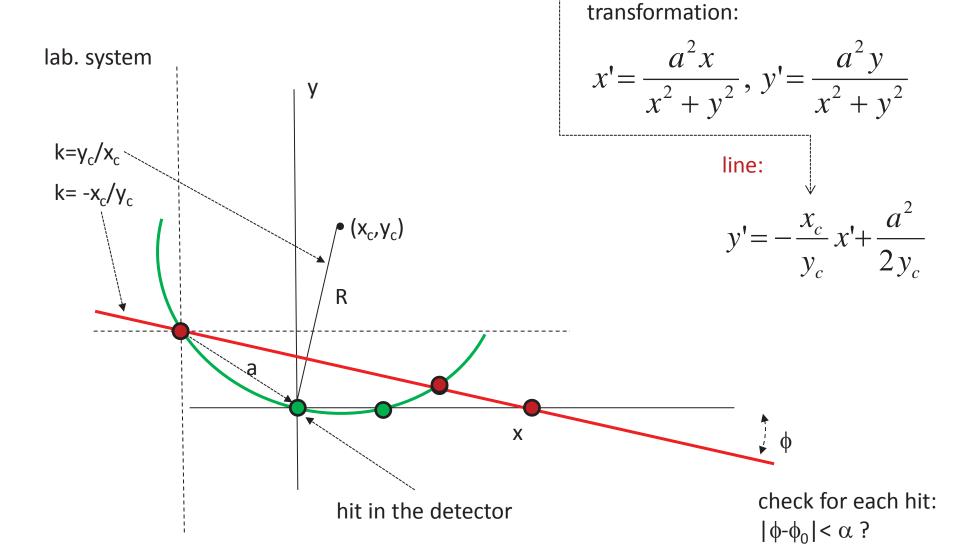
$$R = R_0$$

$$\theta = \theta_0$$

helix defined by 5 parameters:

$$y_0, z_0, \psi_0, \theta_0, 1/R$$
  
 $(x_0 = y_0 / \tan \psi_0)$ 

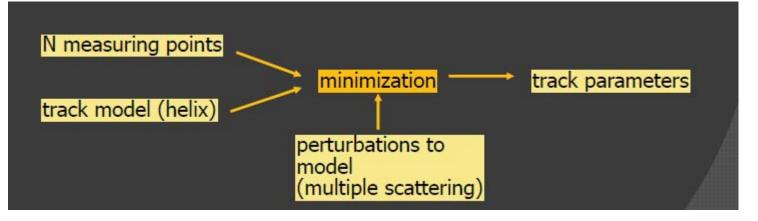
# Pattern recognition

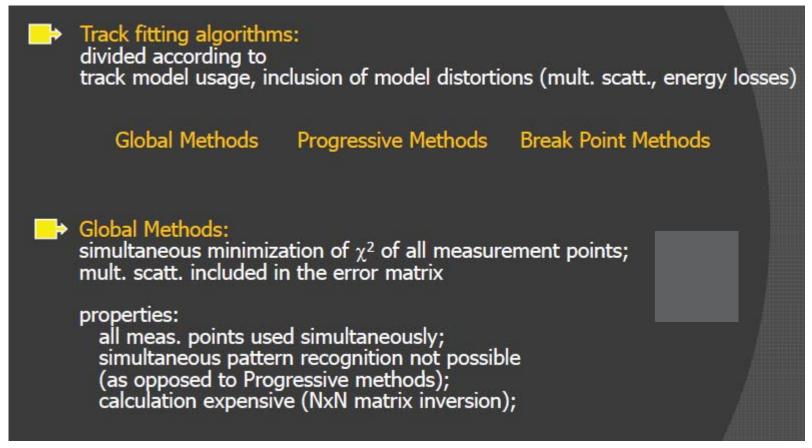


projection of helix:

 $(x-x_c)^2 + (y-y_c)^2 = R^2$ 

### Track fit





# From raw data to summary data track fitting

Global method - track model: expected coordinate values

$$\begin{pmatrix} x_{\text{exp}}^n \\ y_{\text{exp}}^n \\ z_{\text{exp}}^n \end{pmatrix} = \begin{pmatrix} x_0 + R_0^{-1} \left[ \sin \psi_n - \sin \psi_0 \right] \\ y_0 - R_0^{-1} \left[ \cos \psi_n - \cos \psi_0 \right] \\ z_0 + R_0^{-1} \cot \theta_0 \left[ \psi_n - \psi_0 \right] \end{pmatrix}$$

5 free parameters:  $p_0 = (y_0, z_0, \psi_0, \theta_0, 1/R)$  $(x_0 = y_0/tan\psi_0)$ 

N measured 3-dimensional points  $\Rightarrow$  N 3-dimensional functions depending on 5 parameters  $f(p_0)$ 

global  $\chi^2$  minimization:

$$\chi^2(\vec{p_0}) = (\vec{f}(\vec{p_0}) - \vec{m})^T \vec{C}^{-1} (\vec{f}(\vec{p_0}) - \vec{m})$$

# From raw data to summary data track fitting

# Global method - example: straight line fit

model:  $y_n = kx_n + y_0$ N meas. of y at  $x_n$ 

N	k∆x	σ <sub>k</sub> Δx
2	y <sub>2</sub> -y <sub>1</sub>	√2σ
3	(y <sub>3</sub> -y <sub>1</sub> )/2	o/√2
4	(3y <sub>4</sub> +y <sub>3</sub> -y <sub>2</sub> - 3y <sub>1</sub> )/10	σ/√5

$$\chi^2 = \sum_{n=1}^{N} \frac{(y_n - kx_n - y_0)^2}{\sigma_n^2}$$

minimization yields

$$k \sum_{n=1}^{N} \frac{x_n^2}{\sigma_n^2} + y_0 \sum_{n=1}^{N} \frac{x_n}{\sigma_n^2} - \sum_{n=1}^{N} \frac{y_n x_n}{\sigma_n^2} = 0$$

$$k \sum_{n=1}^{N} \frac{x_n}{\sigma_n^2} + y_0 \sum_{n=1}^{N} \frac{1}{\sigma_n^2} - \sum_{n=1}^{N} \frac{y_n}{\sigma_n^2} = 0$$

$$for \ x_n = n\Delta x \ and \ \sigma_n = \sigma \Rightarrow$$

$$k = \frac{1}{\Delta x} \frac{N \sum_{n=1}^{N} n y_n - \sum_{n=1}^{N} n \sum_{n=1}^{N} y_n}{N \sum_{n=1}^{N} n^2 - (\sum_{n=1}^{N} n)^2}$$

# From raw data to summary data track fitting

### Progressive method:

vector of parameters after n measurement points who after n measurement points error matrix after n measurement points vector of extrapolated parameters extrapolated error matrix

$$W_n^e = D^T W_n D, \quad D = \frac{\partial \bar{p}}{\partial \bar{p}^e}$$
 vector of measured points  $\longrightarrow \bar{p}_{n+1}^m$ 
 $W_{n+1} = W_n + U$ 

 $\chi^2$ : sum of contribution from extrapolation and measurement:

n-th point extrapolation to (n+1)st point (n+1)st point

$$\chi^{2}(\vec{p}_{n+1}) = \chi^{2}(\vec{p}_{n}) + \left[\vec{p}_{n+1} - \vec{p}_{n}^{e}\right]^{T}W_{n}^{e}\left[\vec{p}_{n+1} - \vec{p}_{n}^{e}\right] + \left[\vec{p}_{n+1} - \vec{p}_{n+1}^{m}\right]^{T}U\left[\vec{p}_{n+1} - \vec{p}_{n+1}^{m}\right]$$

# From raw data to summary data track fitting

### Progressive method:

vector of parameters after n measurement points error matrix after n measurement points vector of extrapolated parameters extrapolated error matrix

$$W_n^e = D^T W_n D, \quad D = \frac{\partial \bar{p}}{\partial \bar{p}^e}$$
 vector of measured points  $\longrightarrow p_{n+1}^{-1}$  where  $M_{n+1} = M_n + U$ 

 $\chi^2$ : sum of contribution from extrapolation and measurement:

n-th point extrapolation to (n+1)st point (n+1)st point

$$\chi^{2}(\vec{p}_{n+1}) = \chi^{2}(\vec{p}_{n}) + \left[\vec{p}_{n+1} - \vec{p}_{n}^{e}\right]^{T}W_{n}^{e}\left[\vec{p}_{n+1} - \vec{p}_{n}^{e}\right] + \left[\vec{p}_{n+1} - \vec{p}_{n+1}^{m}\right]^{T}U\left[\vec{p}_{n+1} - \vec{p}_{n+1}^{m}\right]$$

after minimization: set of equations for  $\mathbf{p}_{n+1}^{F}$ ; if  $\chi^2$  from extrapol. larger than chosen value for specific point  $\Rightarrow$  point not assigned to track

pattern recognition

# From raw data to summary data track fitting

### Progressive method:

vector of parameters after n measurement points error matrix after n measurement points vector of extrapolated parameters extrapolated error matrix

$$W_n^e = D^T W_n D, \quad D = \frac{\partial \bar{p}}{\partial \bar{p}^e}$$
 vector  $W_{n+1} = W_n + U$ 

vector of measured points  $\longrightarrow \overrightarrow{p}_{n+1}^{m}$ 

 $\chi^2$ : sum of contribution from extrapolation and measurement:

already known

to be determined

calculated

measured in detector

$$\chi^{2}(\vec{p}_{n+1}) = \chi^{2}(\vec{p}_{n}) + \left[\vec{p}_{n+1} - \vec{p}_{n}^{e}\right]^{T}W^{e}_{n}\left[\vec{p}_{n+1} - \vec{p}_{n}^{e}\right] + \left[\vec{p}_{n+1} - \vec{p}_{n+1}^{m}\right]^{T}U\left[\vec{p}_{n+1} - \vec{p}_{n+1}^{m}\right]$$

after minimization: set of equations for  $\mathbf{p}_{n+1}^{F}$ ; if  $\chi^2$  from extrapol. larger than chosen value for specific point  $\Rightarrow$  point not assigned to track

pattern recognition

Track fit

progressive method, example: straight line fit

N	k <sup>F</sup> ∆x	σ <sub>k</sub> FΔx	$\sigma_k \Delta x$	
2	y <sub>2</sub> -y <sub>1</sub>	√2σ	√2σ	
3	(3y <sub>3</sub> -y <sub>2</sub> - 2y <sub>1</sub> )/5	√(14/25)σ= 0.748σ	σ/√2	=0.707 σ
4	(30y <sub>4</sub> -y <sub>3</sub> - 18y <sub>2</sub> - 11y <sub>1</sub> )/70	0.524 <del>c</del>	σ/√5	=0.447 σ
		A	global method	_

global method: better precision; CPU extensive (NxN matrix inversion), simultaneous patt. recognition not possible

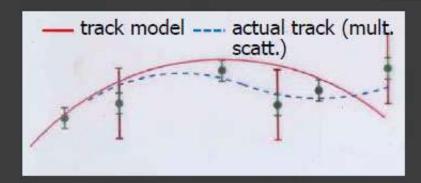
# From raw data to summary data track fitting

Global method – multiple scattering: error matrix:

$$C_{ij} = \sigma_i \sigma_j \delta_{ij} + \overline{\epsilon_i^{ ext{MS}} \epsilon_j^{ ext{MS}}}$$

σ<sub>i</sub>: uncertainty of ind. measurem.; ε<sub>i</sub>: contr. to uncertainty due to mult. scatt. (Molièr formula:

$$\begin{array}{rcl} \overline{\theta_i^{\rm MS}} &=& 0 \\ \sqrt{\overline{(\theta_i^{\rm MS})^2}} &=& \frac{13,6~MeV}{cp\beta} \sqrt{\frac{L}{X_0}} \big[ 1 + 0.038 \ln \frac{L}{X_0} \big] \end{array}$$



meas, error

uncertainty including mult. scatt.

distribution of  $(y_{meas}-y_{fit})/\sigma_y$  ("pull") is a measure of understanding the effect of mult. scatt. rather than of understanding the meas. errors

 $\sigma_y$ : estimated uncertainty of individual measurement; expected distrib. of "pull": Gaussian with unity width; distrib. width > (<)  $1 \Rightarrow \sigma_v$  under-(over-)estimated

# From raw data to summary data track fitting

Progressive method – multiple scattering: mult. scatt. between n<sup>th</sup> and (n+1)<sup>st</sup> point:

$$W_n^{\epsilon} = \left[ \left[ D^T W_n D \right]^{-1} + W_{\text{MS}}^{-1} \right]^{-1}$$

included in the error matrix extrapolation;

using a corresponding mult. scatt. matrix  $W_{MS}$  one can include specifics of material between  $n^{th}$  and  $(n+1)^{st}$  point

### Break points method:

appropriate for detectors with a limited number of regions with significant scattering;

scattering angles included in  $\chi^2$  as free parameters

$$\chi^2(p_n^F) \rightarrow \chi^2(p_n^F, \theta_n)$$

# From raw data to summary data momentum measurement

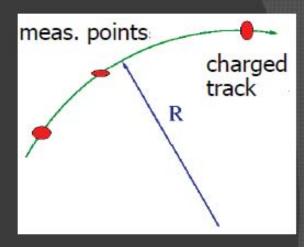


### Magnetic field:

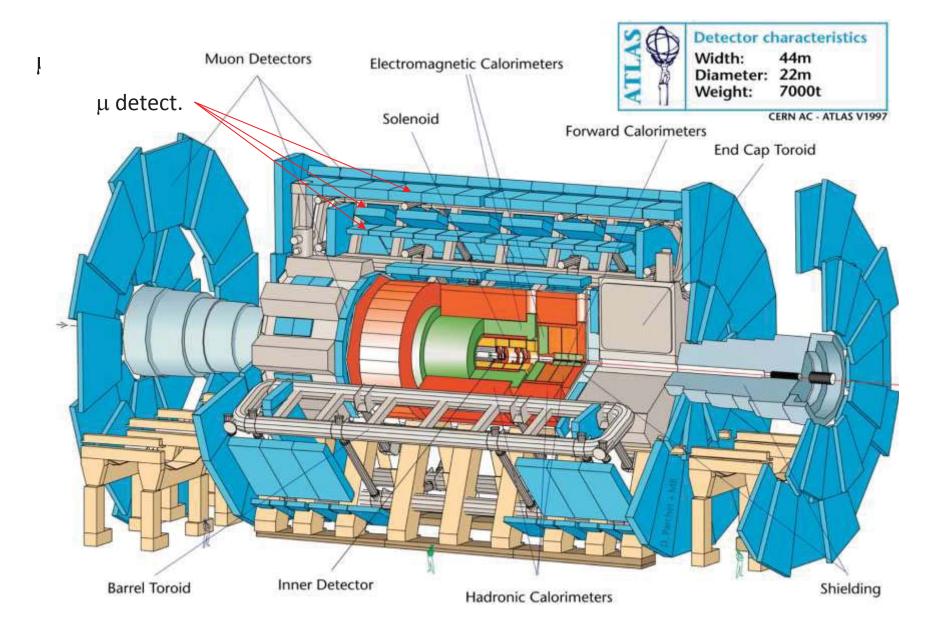
p<sub>t</sub>=qBR; from curvature R one determines the transverse (w.r.t. **B**) component of **p**; actual meas. is curvature R;

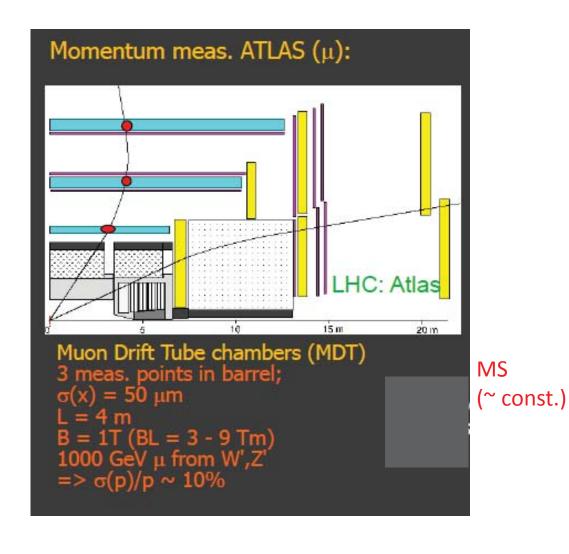
accuracy depends on: # of meas. points; spatial resolution of each point; mag. field integral BL; momentum p;

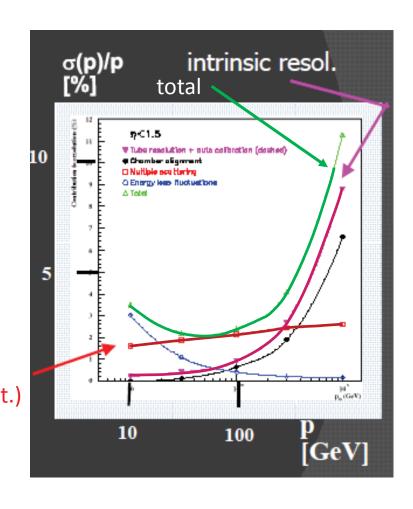
multiple scattering;

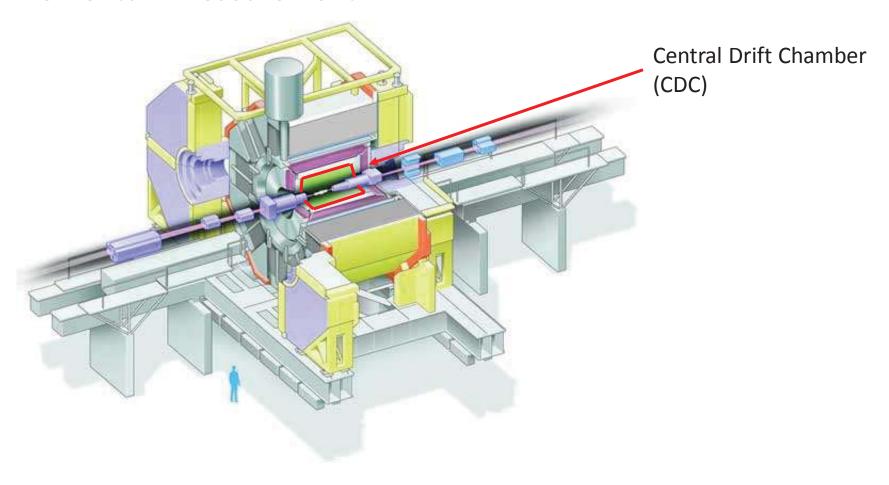


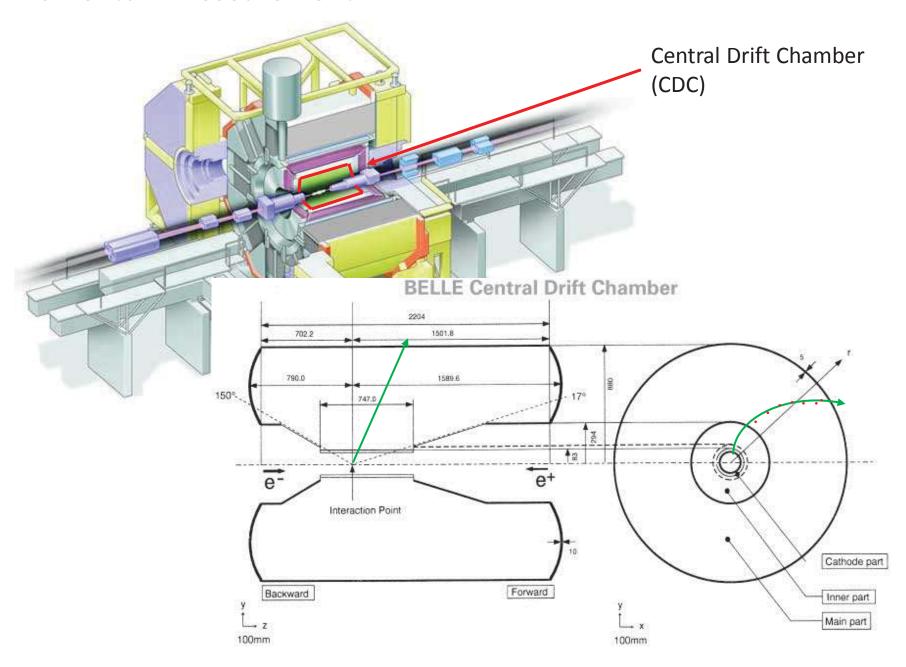
$$\frac{\sigma_{p_t}}{p_t} = \sqrt{ap_t^2 + b}$$
intrinsic resol. mult. scatt.











p<sub>t</sub>~1 GeV/c

B=1.5 T L~1m N~50  $X_0$ ~2.9·10<sup>5</sup> cm

#### estimate:

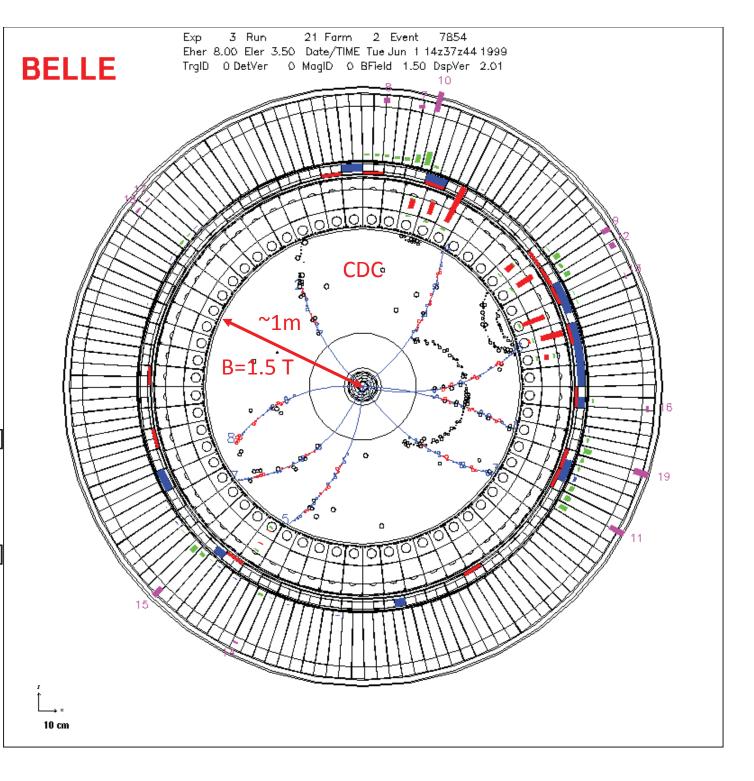
 $\sigma_{\rm pt}/p_{\rm t} \sim \\ \sqrt{[(8\cdot10^{-3})^2+(0.6\cdot10^{-3})^2]}$ 

#### measured:

 $\sigma_{\rm pt}/p_{\rm t} \sim \\ \sqrt{[(3\cdot 10^{-3})^2p_{\rm t}+(3\cdot 10^{-3})^2]}$ 

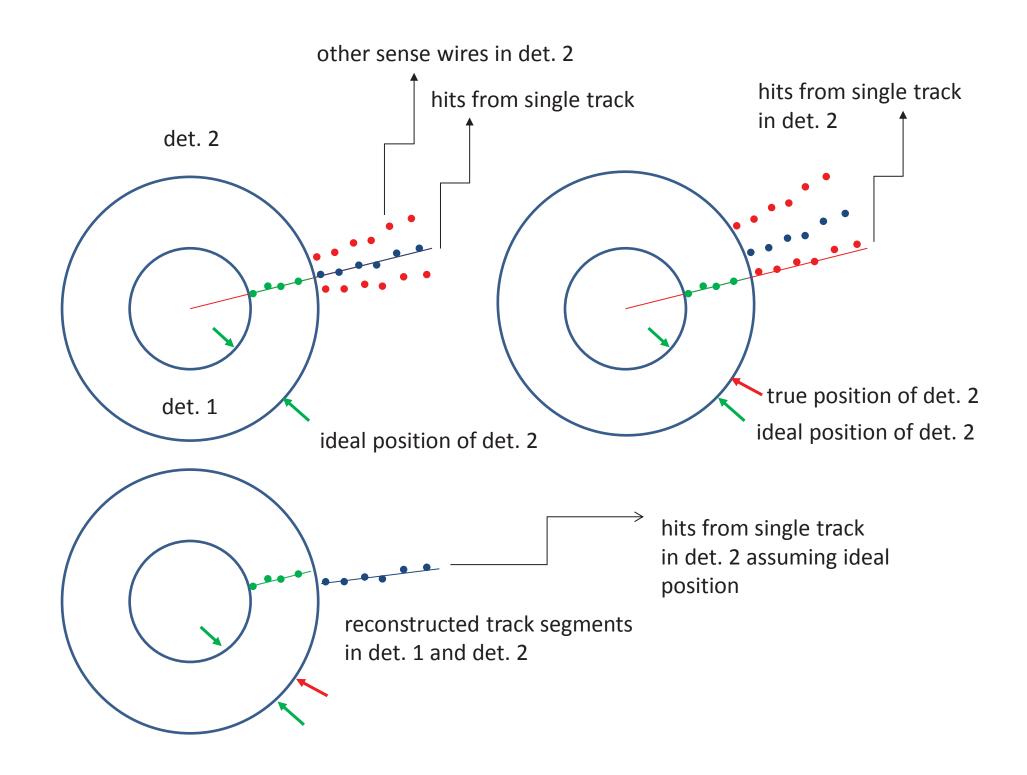
how to measure?

→ calibration!



Tracking detectors calibration individual subdetectors must be properly inter-orineted, otherwise tracks distorted;

> for any calibration need sample (tracks, decays, ...) with precisely known detector response



### Description of detector (mis)alignment

position of individual subdetector w.r.t. reference (most precisely mechanically positioned detector) described by set of small parameters α (translation, rotation, t-delay,...)

### assume linear relation

$$\bar{q}^{meas} - \bar{q}^{ext} = S\bar{\alpha}$$

q<sup>meas</sup>: vector of measured coordinates q<sup>ext</sup>: vector of extrapolated coord. (from the reference detector)

S: matrix depending on measuring coord., track model, detector geometry

simplest case:  $\alpha$  composed of 3 translations and 3 rotations  $\alpha = (\eta_{x}, \eta_{y}, \eta_{z}, \epsilon_{x}, \epsilon_{y}, \epsilon_{z})$ 

### Calibration

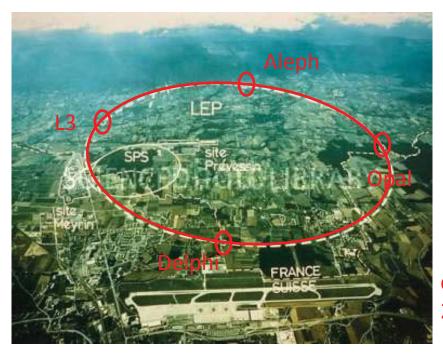
tracking detectors

$$\chi^{2} = \sum_{k} \left[ \vec{q}_{k}^{meas} - \vec{q}_{k}^{ext} - S_{k} \vec{\alpha} \right]^{T} W_{k}^{-1} \left[ \vec{q}_{k}^{meas} - \vec{q}_{k}^{ext} - S_{k} \vec{\alpha} \right]$$

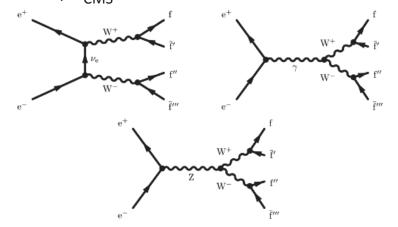
$$\frac{\partial \chi^{2}}{\partial \vec{\alpha}} = 0 \Rightarrow \left( \sum_{k} S_{k}^{T} W_{k}^{-1} S_{k} \right) \vec{\alpha} = \sum_{k} S_{k}^{T} W_{k}^{-1} \left( \vec{q}_{k}^{meas} - \vec{q}_{k}^{ext} \right)$$

$$\Rightarrow \vec{\alpha}$$

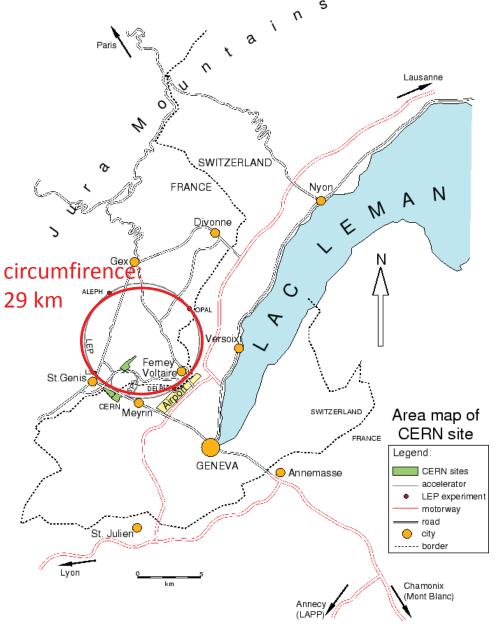
### Calibration



Large Electron Positron (LEP) collider: e<sup>+</sup> e<sup>-</sup>, E<sub>CMS</sub>=90-170 GeV



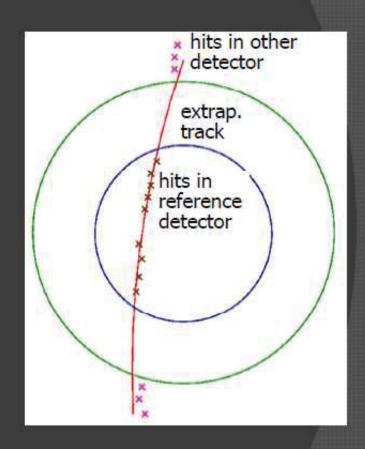
nowadays the tunnel is occupied by the Large Hadron Collider (LHC)



### Appropriate sample

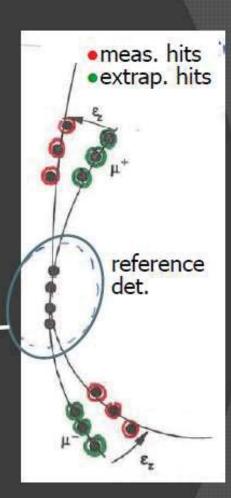
often cosmic rays; other decays observed, e.g.  $Z^0 \rightarrow \mu^+\mu^-$  (LEP);

(needed also to check the alignment method)



# Appropriate sample e.g. $Z^0 \rightarrow \mu^+\mu^-$ (LEP);

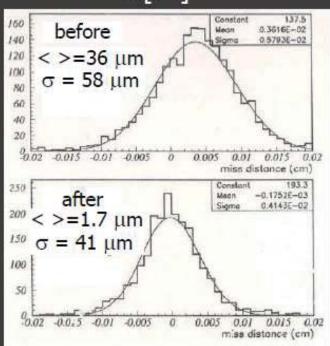
extrapolations of meas. tracks
do not intersect in interaction point



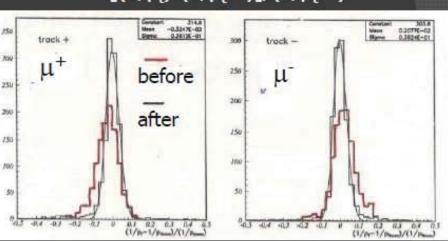
### Example

Delphi detector at LEP

 $\delta$  [cm]



## $[(1/p_t)-(1/p_t^{ext})]/(1/p_t^{ext})$



# Analysis of data Summary

Path from electronic signal detection to result for measured physical quantities involves a number of steps

Each of those represents a specific problem and requires specific methods and solutions (some of those illustrated here)

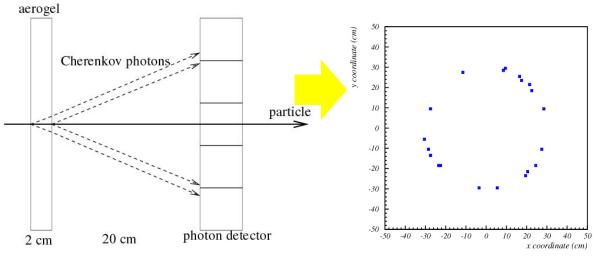
Quality (correctness and accuracy) of the final results depends crucially on the quality of reconstruction of raw data

# Analysis of data, part 2: particle identification

# Identification

# Measuring the Cherenkov angle

### Particles above threshold: measure $\theta$

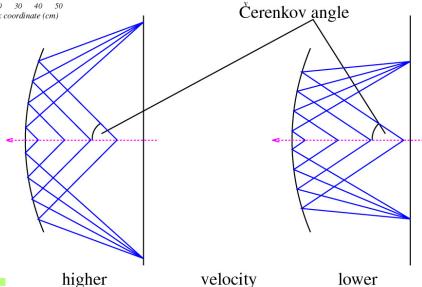


Idea: transform the direction into a coordinate → ring on the detection plane

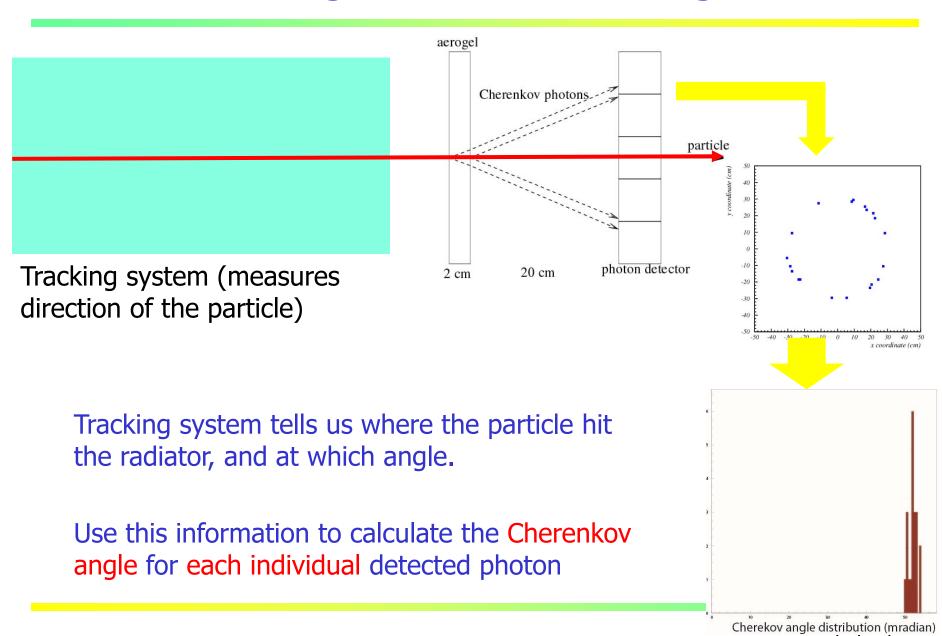
→ Ring Imaging Cherenkov (RICH) counter

Proximity focusing RICH

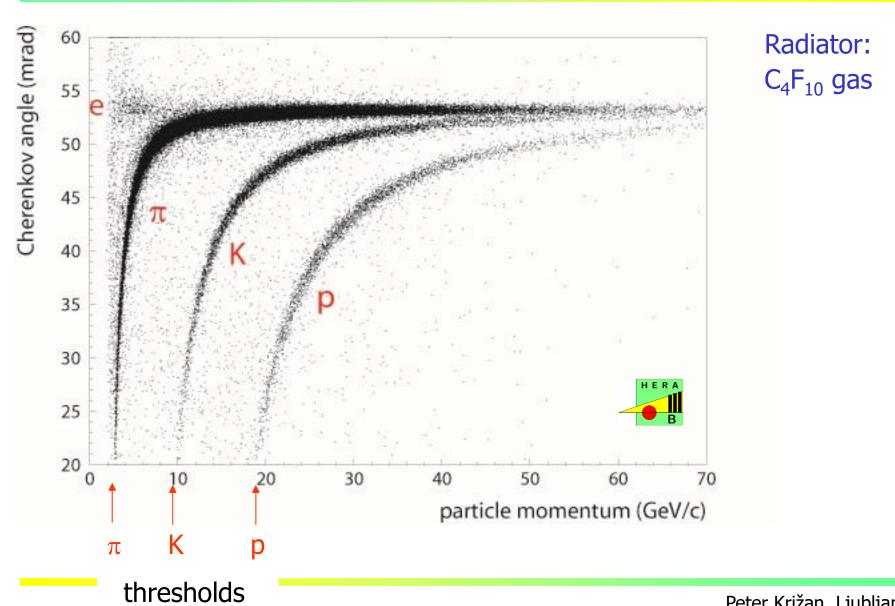
RICH with a focusing mirror



# Measuring the Cherenkov angle



# Measuring Cherenkov angle



# Likelihood for a given PID hypothesis

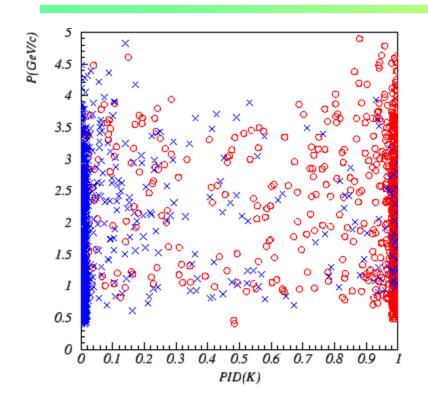
#### Simplest version:

- Measure the Cherenkov angle for a given particle,  $\Theta_e$  = average of Cherenkov angles for all photons on the ring
- Calculate the expected values of Cherenkov angles  $\Theta_h$  for all possible hypotheses h and the corresponding uncertainties  $\sigma_h$  (taking into account the momentum as determined in the tracking system)
- Likelihood for a given hypothesis

with 
$$x=\Theta_e$$
 and  $\mu=\Theta_h$  
$$f(x)=\frac{1}{\sigma\sqrt{2\pi}}e^{-\frac{1}{2}\left(\frac{x-\mu}{\sigma}\right)^2}$$

 For a specific case, e.g., pion-kaon separation, form ratio of log-likelihoods,

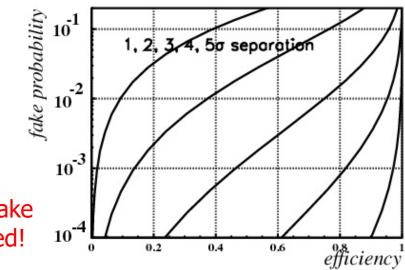
$$R_K = \ln L_K / (\ln L_\pi + \ln L_K)$$



$$R_K = \ln L_K / (\ln L_\pi + \ln L_K)$$

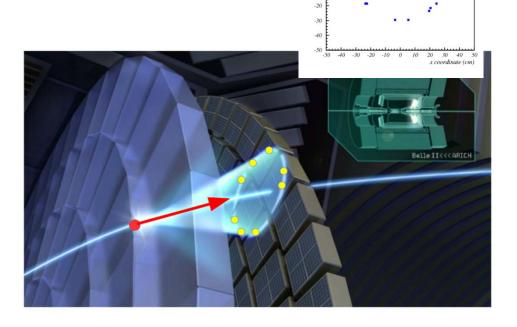
for kaons (red) and pions (blue)

A reminder: efficiency and fake probability are tightly coupled!



# Next level: detailed analysis of the image

Improve separation between particle species: add more details to the likelihood function → take each individual pixel on the photon detector and evaluate the probability that there is a hit (from the Cherenkov photons of the particle and from background sources)



#### Likelihood function

$$\mathcal{L} = \prod_{i}^{pixels} p_{i}$$

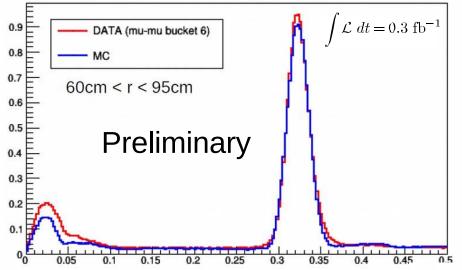
$$p_{i} = e^{-n_{i}} n_{i}^{m_{i}} / m_{i}!$$

For each particle hypothesis h

$$\ln \mathcal{L}^h = -N^h + \sum_{\text{hit } i} \left[ n_i^h + \ln(1 - e^{-n_i^h}) \right]$$
 Expected total number of hits Expected number of hits on pixel i

# Crucial: understading of the details in the image – try to model as precisely as possible

## Cherenkov angle distribution in $e^+e^- \rightarrow \mu^+\mu^-$



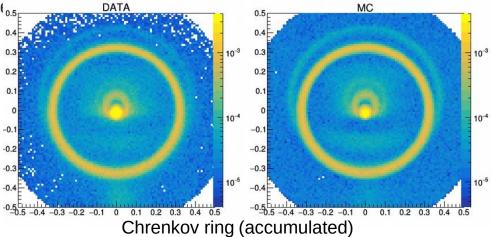
#### DATA

$$N_{sig} = 11.38/\text{track}$$
  
 $\sigma_c = 12.7 \text{ mrad}$ 

#### MC

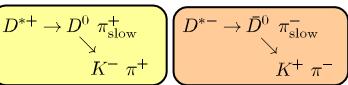
$$N_{sig} = 11.27/\text{track}$$
  
 $\sigma_c = 12.75 \text{ mrad}$ 

Overall a very good DATA/MC agreement!



### Estimation of $\pi/K$ separation capabilities using $D^{*\pm}$ decays

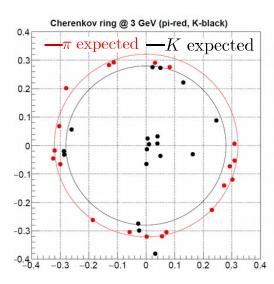
• Identify  $K,~\pi~$  based on track charge in association with the charge of  $~\pi_{
m slow}$ 



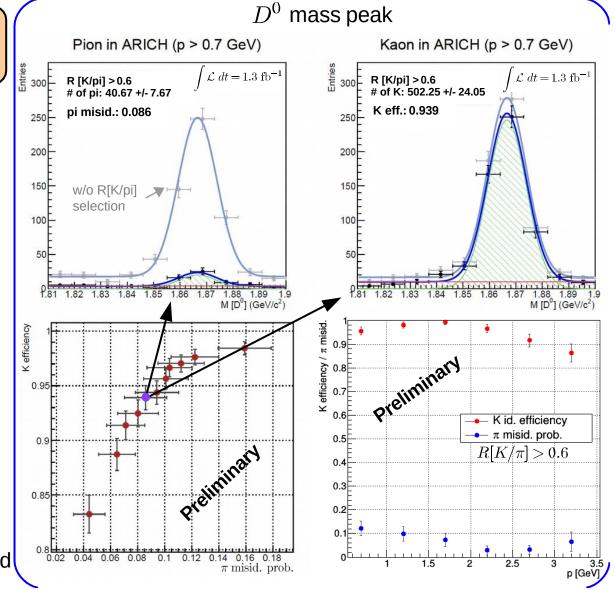
Apply selection criteria on

$$R[K/\pi] = \frac{\mathcal{L}_K}{\mathcal{L}_K + \mathcal{L}_\pi}$$

 $\mathcal{L}$  - likelihood for given id. hypothesis

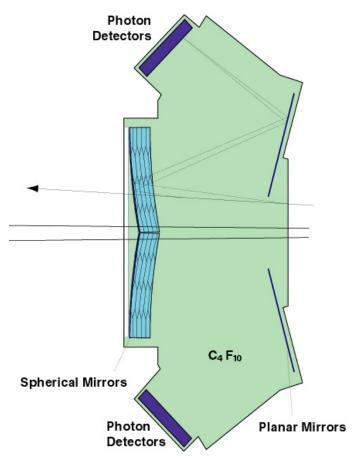


- Only coarse/preliminary calibrations included
  - → further improvements expected

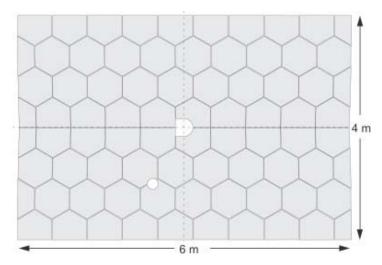


# Alignment

# Mirror alignment



Gas radiator RICHes: large mirrors → tens of mirror segments with individual mounting → need relative alignment

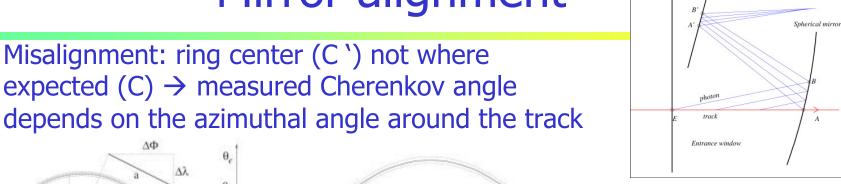


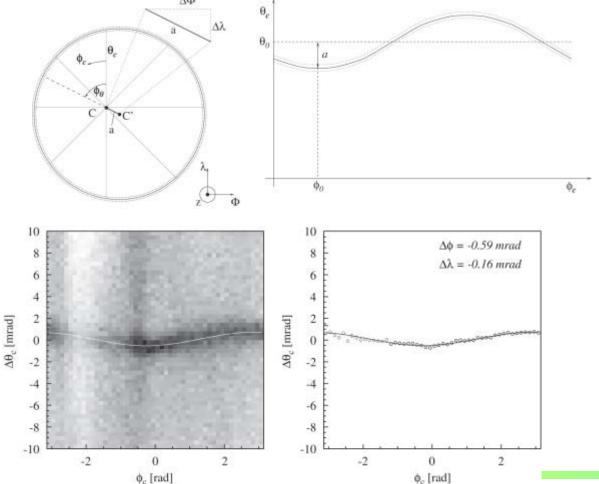
- Spherical mirror: 80 hexagonal segments
- Planar mirrors: 2x 18 rectangular segments

Aligning pairs of spherical and planar segments by using Cherenkov photons.

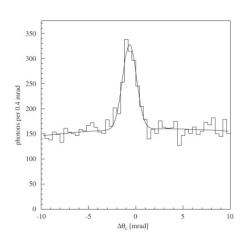
# Mirror alignment

expected (C) → measured Cherenkov angle depends on the azimuthal angle around the track





mirrors 34 14



Planar mirro

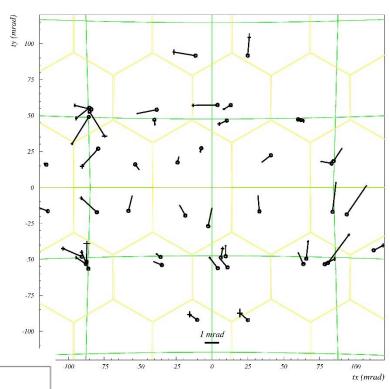
Slice in  $\phi_c$ 

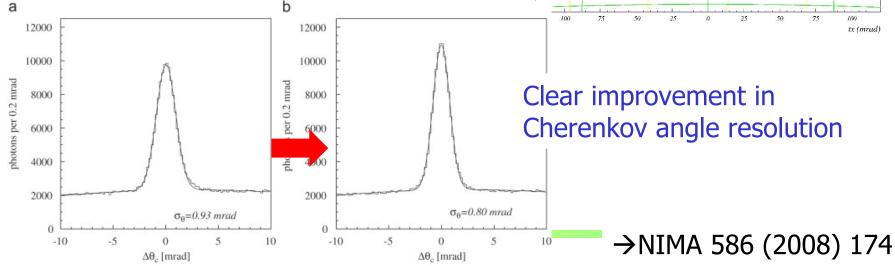
# Mirror alignment

Initial mirror system alignment: with optical methods, theodolite.

Alignment with data: tells us the ultimate truth...

Combine all alignment data for all (possible) pairs of mirror segments → solve a huge system of linear equations

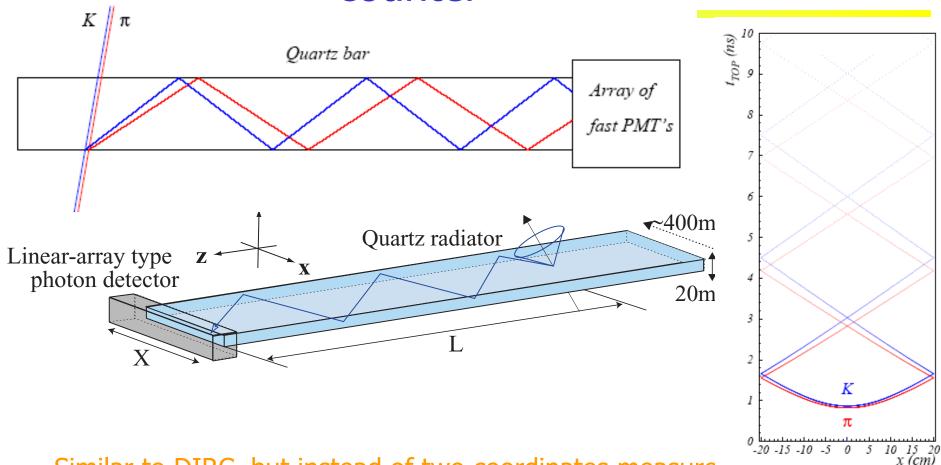




# More slides

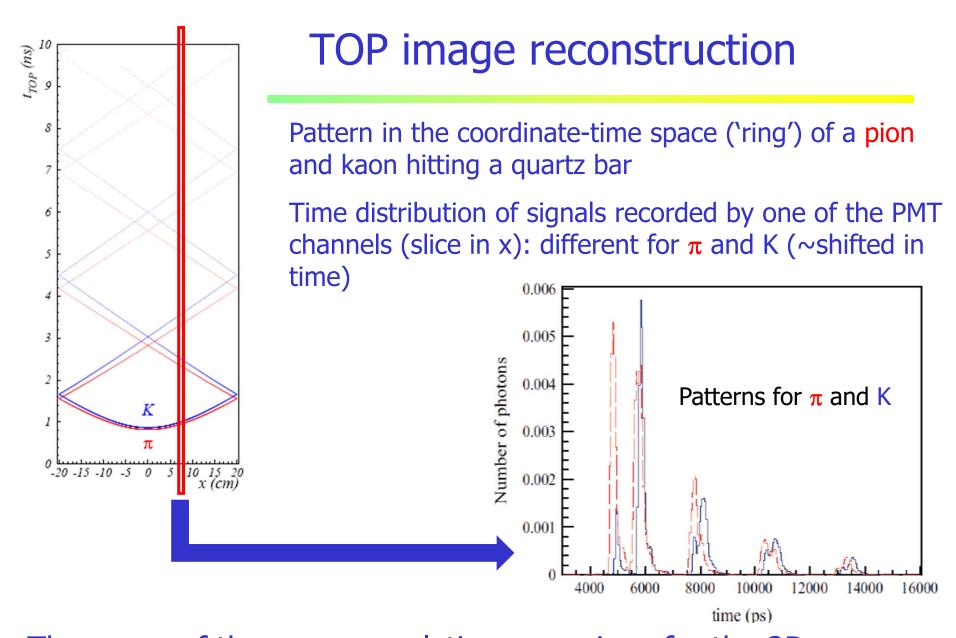


# Time-Of-Propagation (TOP) counter



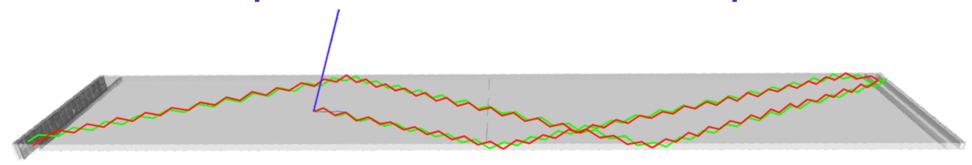
#### Similar to DIRC, but instead of two coordinates measure

- One (or two coordinates) with a few mm precision
- Time-of-arrival

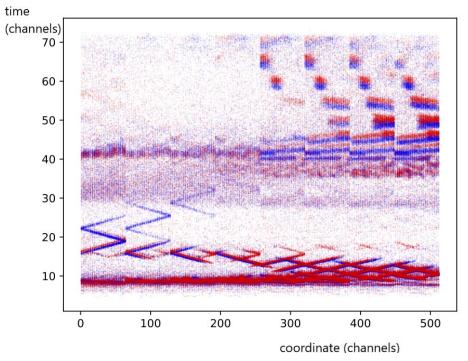


The name of the game: analytic expressions for the 2D likelihood functions →M. Starič et al., NIMA A595 (2008) 252-255

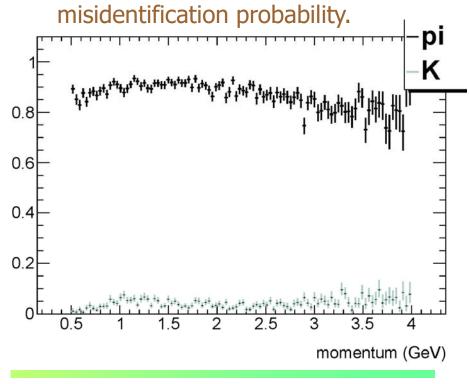
# Separation of kaons and pions



Pions vs kaons in TOP: different patterns in the time vs PMT impact point coordinate



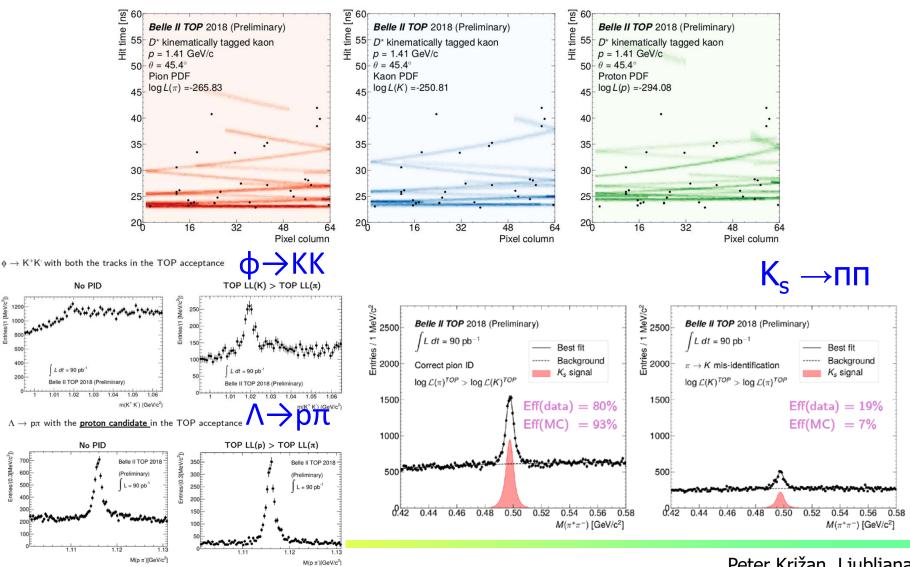




Peter Križan, Ljubljana

## **TOP** first events

### The early data demonstrated that the TOP principle is working

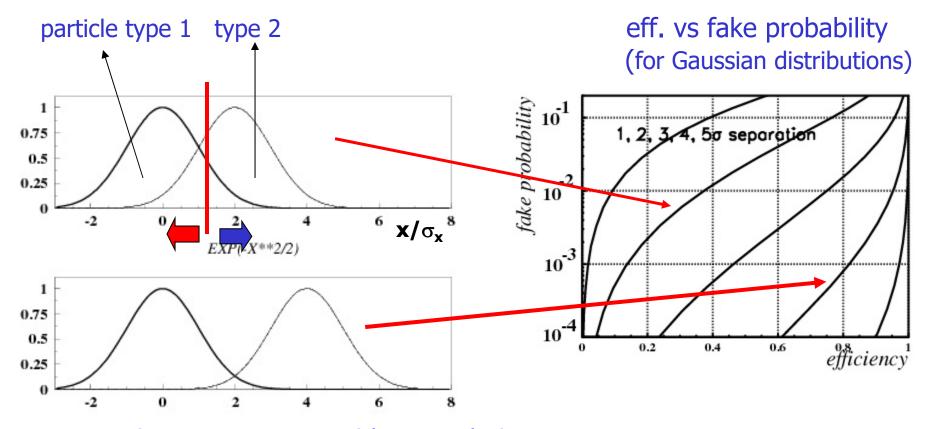


Peter Križan, Ljubljana

### Efficiency and purity in particle identification

#### Efficiency and purity are tightly coupled!

#### Two examples:



some discriminating variable  $\mathbf{x}$ , scaled to the resolution  $\sigma_{\mathbf{x}}$